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ATTORNEY DOCKET NO. 2003028-0048 (Ariad 331D USD1)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Schreiber & Crabtree  
Serial No.: 09/834,424  
Filed: April 13, 2001  
For: Methods and Materials Involving Dimerization-Mediated Regulation of Biological Events

Examiner: Vogel, Nancy S.  
Art Unit: 1636

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

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TRANSMITTAL LETTER

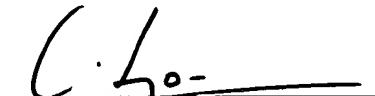
Enclosed for filing in the above-referenced nonprovisional patent application, please find the following documents:

- 1) Submission to Correct Small Entity Status Under 37 C.F.R. 1.28(c) (2 pp.);
- 2) Check in the amount of \$511.00, representing total fee deficiency;
- 3) Statement of Limited Recognition under 37 C.F.R. 10.9(b) (1 pg.); and
- 4) Return Postcard.

Please charge any additional fees associated with this filing, or apply any credits, to our Deposit Account No. 03-1721.

Respectfully submitted,

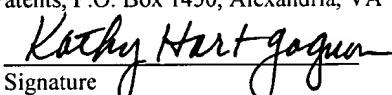
Date: October 7, 2003

  
Charles Lyon, Ph.D.  
Agent for Applicant  
Limited Recognition Under 37 CFR §10.9(b)

PATENT GROUP  
CHOATE, HALL & STEWART  
Exchange Place  
53 State Street  
Boston, MA 02109  
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Fax: 617-248-4000

**Certificate of Mailing**  
I certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

October 9, 2003  
Date

  
Signature

Kathy Hart Gagnon

Typed or Printed Name of person signing certificate



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Alexandria, VA 22313-1450

Sir:

SUBMISSION TO CORRECT SMALL ENTITY STATUS UNDER 37 C.F.R. 1.28(c)

Applicant respectfully submits this paper to correct the previous good faith establishment of Small Entity Status for the above-referenced provisional application. We have received notification that, effective January 31, 2003, the present application was no longer eligible to claim small entity status. Applicant respectfully requests that any error in continuing to pay fees as a small entity in connection therewith as set forth below, be excused in accordance with 37 C.F.R. 1.28(c).

In accordance with 37 C.F.R. 1.28(c), Applicant respectfully submits herewith a check for \$511.00 to cover the deficiency owed resulting from erroneous payment of Small Entity fees since January 31, 2003, as itemized below.

**Certificate of Mailing**

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October 7, 2003

Date

Signature

Kathy Hart Gagnon

Typed or Printed Name of person signing certificate

10/10/2003 SSANDARA 00000005 031721 09834424  
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02 FC:1202  
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                62.00 DP

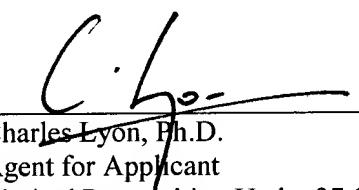
| Applicable Fees<br>U.S. Utility Patent Application  | (A) Current<br>(Large Entity) | (B) Actually Paid<br>(Small Entity) | (C) Deficiency<br>(A - B = C) |
|---|-------------------------------|-------------------------------------|-------------------------------|
| Three (3) month Extension of Time for<br>Response to Office Action (paid 4/1/03)                    | \$940.00                      | \$465.00                            | \$475.00                      |
| Additional claims fees paid with Response<br>to Office action [4 claims @ \$18.00]<br>(paid 4/1/03) | \$72.00                       | \$36.00                             | \$36.00                       |
| <b>TOTAL DUE</b>  |                               |                                     | <b>\$511.00</b>               |

Although we believe that we have appropriately provided for any fees due in connection with this submission, the Commissioner is authorized to credit any overpayment or charge any deficiencies to/from our **Deposit Account No. 03-1721**.

Should there be any questions after reviewing this paper, the Examiner is invited to contact the undersigned at (617) 248-4793.

Respectfully submitted,

Date: October 7, 2003

  
Charles Lyon, Ph.D.  
 Agent for Applicant  
 Limited Recognition Under 37 CFR §10.9(b)

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 CHOATE, HALL & STEWART  
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BEFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE  
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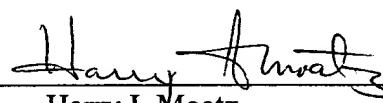
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LIMITED RECOGNITION UNDER 37 CFR § 10.9(b)

Charles Lyon is hereby given limited recognition under 37 CFR § 10.9(b) as an employee of the Choate, Hall & Stewart law firm to prepare and prosecute patent applications wherein the patent applicant is the client of the Choate, Hall & Stewart law firm, and the attorney or agent of record in the applications is a registered practitioner who is a member of the Choate, Hall & Stewart law firm. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) Charles Lyon ceases to lawfully reside in the United States, (ii) Charles Lyon's employment with the Choate, Hall & Stewart law firm ceases or is terminated, or (iii) Charles Lyon ceases to remain or reside in the United States on an H1B visa.

This document constitutes proof of such recognition. The original of this document is on file in the Office of Enrollment and Discipline of the United States Patent and Trademark Office.

Expires: May 16, 2004

  
\_\_\_\_\_  
Harry I. Moatz  
Director of Enrollment and Discipline